

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COFC

Re Application of: BARBUT, Denise R.

Group Art Unit: 3731

Patent No: 6,830,579
Issued: December 14, 2004

Examiner: Paul A. Roberts

Serial No.: 09/847,425
Filed: May 1, 2001

Title: DEVICES AND METHODS FOR
PREVENTING DISTAL
EMBOLIZATION USING FLOW
REVERSAL AND PERFUSION
AUGMENTATION WITHIN THE
CEREBRAL VASCULATURE

RECEIVED
FEB 11 2005
CERTIFICATE OF CORRECTION

TRANSMITTAL OF REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Attn: Certificate of Correction Branch

Sir:

Enclosed for filing, please find the following:

1. Request for Certificate of Correction of Patent for Office Mistake (37 CFR 1.322(a));
2. Certificate of Correction (Form PTO/SB/44) (Exhibit A); and
3. Copy of Corrected Filing Receipt (Exhibit B).

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that I have reasonable basis to expect and believe that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: Certificate of Correction Branch.

February 2, 2005
Date of Deposit
IR1:1062107.1

Cynthia B. Pacheco
Cynthia B. Pacheco

FEB 15 2005

The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayments to Deposit Account 50-2862.

Please send the Certificate to:

John Kappos, Esq.
O'Melveny & Myers LLP
114 Pacifica, Suite 100
Irvine, CA 92618

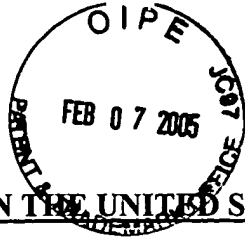
Respectfully submitted,
O'MELVENY & MYERS LLP

Dated: February 2, 2005

By: Diane K. Wong
Diane K. Wong
Reg. No. 54,550

Enclosures

O'Melveny & Myers LLP
114 Pacifica, Suite 100
Irvine, CA 92618
(949) 737-2900



PATENT
Attorney Docket No. 161,700-034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: BARBUT, Denise R.

Patent No: 6,830,579
Issued: December 14, 2004

Serial No.: 09/847,425
Filed: May 1, 2001

Title: DEVICES AND METHODS FOR
PREVENTING DISTAL
EMBOLIZATION USING FLOW
REVERSAL AND PERFUSION
AUGMENTATION WITHIN THE
CEREBRAL VASCULATURE

Group Art Unit: 3731

Examiner: Paul A. Roberts

DUPLICATE

TRANSMITTAL OF REQUEST FOR CERTIFICATE OF CORRECTION

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Attn: Certificate of Correction Branch

Sir:

Enclosed for filing, please find the following:

1. Request for Certificate of Correction of Patent for Office Mistake (37 CFR 1.322(a));
2. Certificate of Correction (Form PTO/SB/44) (Exhibit A); and
3. Copy of Corrected Filing Receipt (Exhibit B).

DUPLICATE

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that I have reasonable basis to expect and believe that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attn: Certificate of Correction Branch.

February 2, 2005
Date of Deposit
IR1:1062107.1

Cynthia B. Pacheco
Cynthia B. Pacheco

FEB 15 2005

The Commissioner is hereby authorized to charge any fees that may be required, or credit any overpayments to Deposit Account 50-2862.

Please send the Certificate to:

John Kappos, Esq.
O'Melveny & Myers LLP
114 Pacifica, Suite 100
Irvine, CA 92618

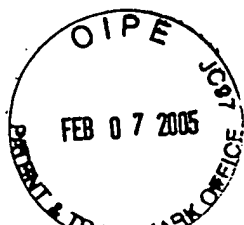
Respectfully submitted,
O'MELVENY & MYERS LLP

Dated: February 2, 2005

By: Diane K. Wong
Diane K. Wong
Reg. No. 54,550

Enclosures

O'Melveny & Myers LLP
114 Pacifica, Suite 100
Irvine, CA 92618
(949) 737-2900



PATENT
Attorney Docket: 161,700-034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: BARBUT, Denise R.

Group Art Unit: 3731

Patent No: 6,830,579
Issued: December 14, 2004

Examiner: Paul A. Roberts

Serial No.: 09/847,425
Filed: May 1, 2001

Title: DEVICES AND METHODS FOR
PREVENTING DISTAL
EMBOLIZATION USING FLOW
REVERSAL AND PERFUSION
AUGMENTATION WITHIN THE
CEREBRAL VASCULATURE

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR
OFFICE MISTAKE (37 CFR 1.322(a))

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Attn: Certificate of Correction Branch

Sir:

This request for Certificate of Correction is made pursuant to and 35 U.S.C. §254 and 37 C.F.R. §1.32(a) (Office mistake) to correct a mistakes made by the PTO in the printing of the filing date. Please correct the subject patent according to the attached Certificate of Correction. Attached as Exhibit A (in duplicate) is Form PTO/SB/44, with at least one copy being suitable for printing.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1451, Alexandria, VA 22313-1451, Attn: Certificate of Correction Branch.

February 2, 2005
Date of Deposit
IR1:1062104.1

Cynthia B. Pacheco
Cynthia B. Pacheco

FEB 15 2005

On December 9, 2004, Applicant sent a request for corrected filing receipt to the United States Patent & Trademark Office (USPTO). On January 7, 2005, the USPTO mailed a Corrected Filing Receipt (copy attached as Exhibit B) which listed the filing date as May 1, 2001.

Applicant requests that the subject patent be corrected as follows:

On the title page, item [22], delete "May 2, 2001", and insert -- "May 1, 2001"--

Please send the Certificate of Correction to John Kappos, O'Melveny & Myers LLP, 114 Pacifica, Suite 100, Irvine, California, 92618-3315, attorney of record for Assignee, CoAxia, Inc. The Commissioner is hereby authorized to charge Deposit Account No. **50-2862** for any fees that may be required with this submission.

Respectfully submitted,

O'MELVENY & MYERS LLP

Dated: February 2, 2005

By: Diane K. Wong
Diane K. Wong, Reg. No. 54,550
Attorneys for Applicants

O'Melveny & Myers LLP
114 Pacifica, Suite 100
Irvine, CA 92618-3315
(949) 737-2900

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,830,579
DATED : December 14, 2004
INVENTOR(S) : BARBUT, Denise R.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, item [22], delete "May 2, 2001", and insert -- "May 1, 2001" --

MAILING ADDRESS OF SENDER:

John Kappos, Esq.
O'MELVENY & MYERS LLP
114 Pacifica, Suite 100
Irvine, CA 92618

PATENT NO. 6,830,579

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 15 2005

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,830,579
DATED : December 14, 2004
INVENTOR(S) : BARBUT, Denise R.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the title page, item [22], delete "May 2, 2001", and insert -- "May 1, 2001" --

MAILING ADDRESS OF SENDER:

John Kappos, Esq.
O'MELVENY & MYERS LLP
114 Pacifica, Suite 100
Irvine, CA 92618

PATENT NO. 6,830,579

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 07 2005

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/847,425	05/01/2001	3731	842	161,700-034	43	23	7

34263
 O'MELVENY & MEYERS
 114 PACIFICA, SUITE 100
 IRVINE, CA 92618

RECEIVED

JAN 11 2005

O'Melveny & Myers LLP
 Irvine, California

CONFIRMATION NO. 5784

CORRECTED FILING RECEIPT



OC000000014909813

Date Mailed: 01/07/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Denise R. Barbut, New York, NY;

Assignment For Published Patent Application

CoAxia, Inc.

Power of Attorney: The patent practitioners associated with Customer Number **34263**.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 07/09/2001

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US09/847,425**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

O'Melveny & Myers LLP

File: 161,700-034

Action Item: _____

Date Due: _____

Critical Date: _____

Attorney Path: TCK/DKW

Docketed By: _____

Verified By: _____

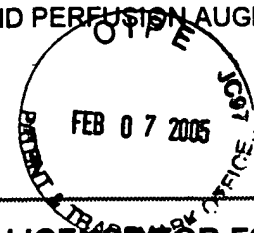
JAN 15 2005

Title

DEVICES AND METHODS FOR PREVENTING DISTAL EMBOLIZATION USING FLOW
REVERSAL AND PERFUSION AUGMENTATION WITHIN THE CEREBRAL VASCULATURE

Preliminary Class

606

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).